

SLOVENIAN RESEARCH AGENCY

### **Research Management in Slovenia** the support of knowledge transfer

**Conference on "COMMERCIALIZING SCIENCE"** 

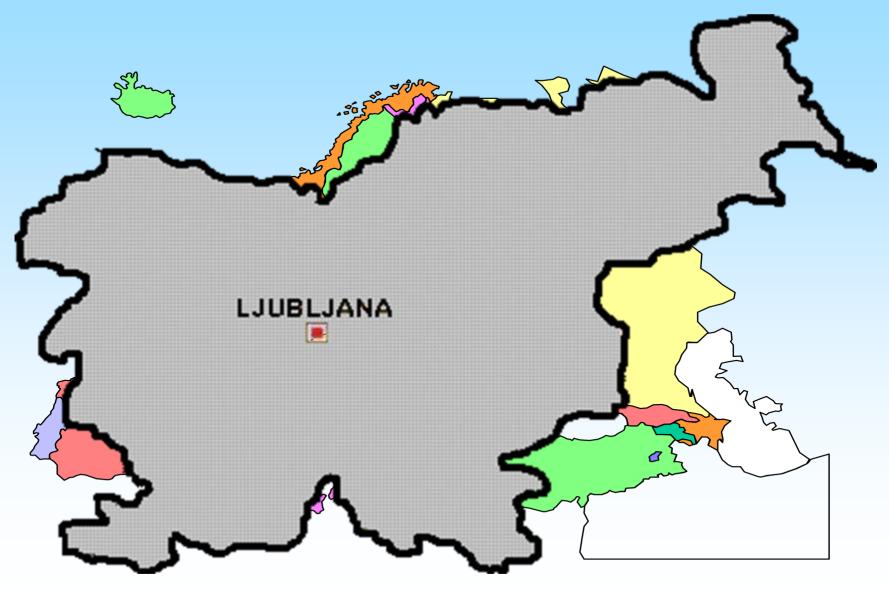
dr. Tomaž Boh, ARRS tomaz.boh@arrs.si

Baku, October 15-18, 2007

## **Contents:**

- Some facts about Slovenia and Slovenian Research Agency
- Importance of knowledge in modern society – interplay between science and society
- Main SRA research policy instruments regarding the transfer of knowledge
- Some facts about the research and innovation activities in Slovenia

#### Some facts about Slovenia



#### SLOVENIA - in the Heart of EUrope



Basic data: Constitution: Parliamentary democracy

Capital: Ljubljana (popul. 300 000)

Area: 20 273 km2

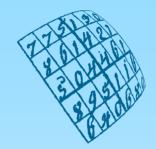
Population: 2 million

Population density: 99.0/km2

GDP: 29,7 mrd EUR

**GDP per capita:** 14.800 EUR (2006)

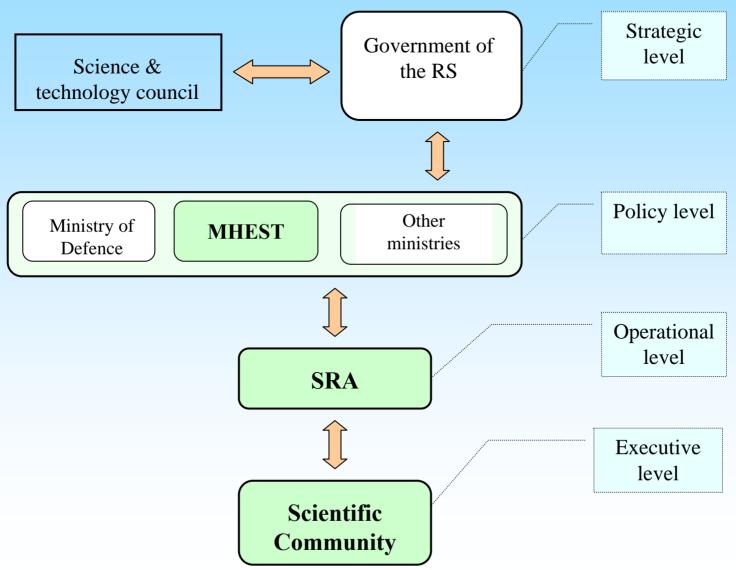
Annual real growth rates of GDP: 5,2 (2006)



#### SLOVENIAN RESEARCH AGENCY

- Establishing: November <u>2004</u>, Government of the Republic of Slovenia
- **Status**: a legal person of public law; indirect user of the budget of the Republic of Slovenia
- **Mission**: performing professional tasks relating to <u>implementation</u> of the adopted *National Research and Development Programme*
- **Budget**: <u>145 million €</u>per year (2006)

## Institutional design and position of the SRA



### Main Slovenian R&D policy **objectives**

- to increase investments in R&D according to the 3% GDP
- to increase effectiveness of public investments in R&D
- to strengthen human resources in R&D
- to strengther R&D co-operation between public research sector and enterprises
- to strengthen business sector R&D
- to increase international co-operation

**Broad priority areas** of Slovenian R&D

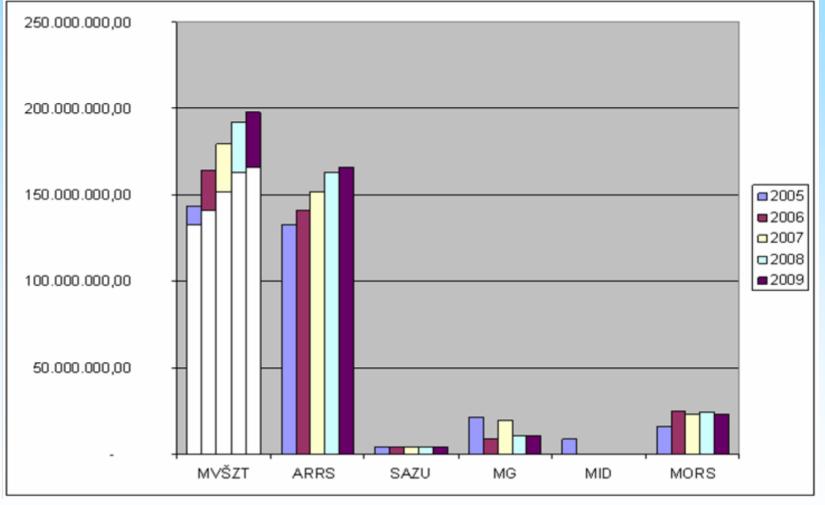
- Information and Communication
   Technologies
- Advanced New Materials and Nanotechnologies
- Complex Systems and Innovative
   Technologies
- Technologies for Sustainable Development
- Health and Life Sciences



# Priorities related to social and cultural development

- support to national identity, modern
   Slovenian history, natural and cultural heritage
- development of efficient state and modern democratic society
- management of social processes and risks caused by new technologies, globalization, changing the demographic structure

#### Slovenian budget for S&T, 2005-2009 (public sources)



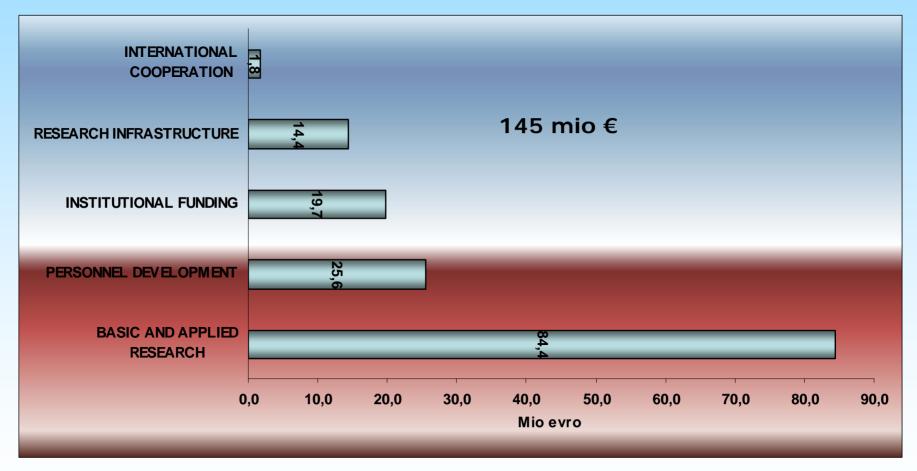
#### SRA main areas of operation



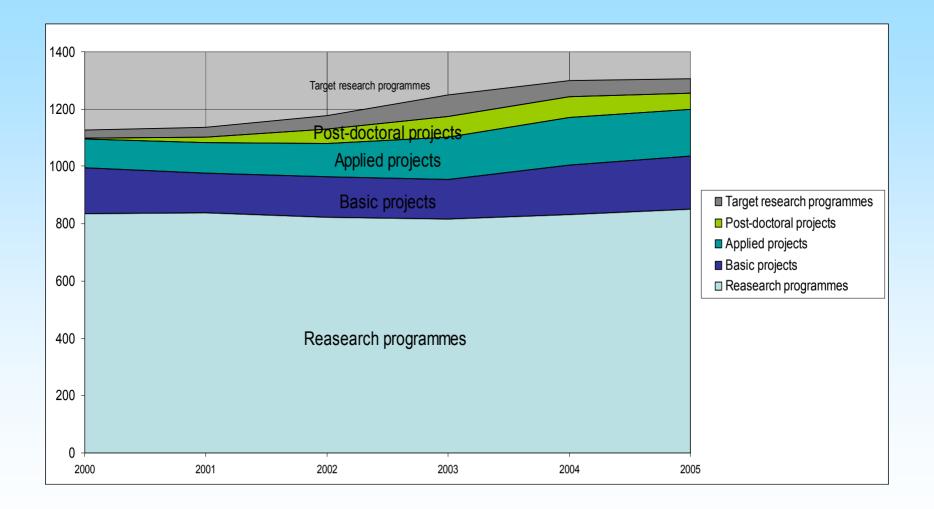
## Openness of the SRA supporting system

- Opening the national programmes: integration of foreign citizens into the programme of young researchers, postdoctoral research and excellent foreign scientists
- Funding of postdoctoral research for researchers who will switch over from public to business sector

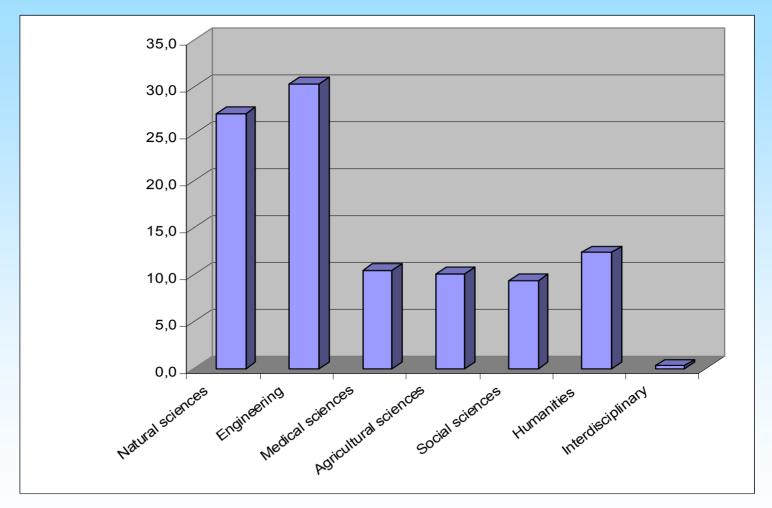
## SRA **budget** – by research activities, 2006



### 1.300 FTE by research type



## Funding (SRA) of research by **fields** of science



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"Knowledge is becoming the main source of wealth for nations, companies and people, but it can also become the main factor of inequality" (Rodrigues, 2003)



## **Types of research impact**

#### SPRU taxonomy of 'exploitation channels'

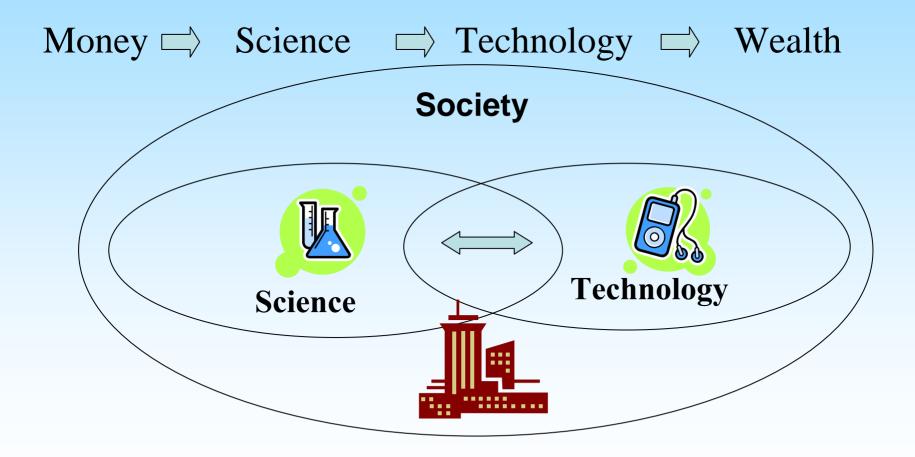
- 1. Increasing the stock of useful knowledge
- 2. Training skilled graduates
- 3. Creating new scientific instrumentation & methodologies
- 4. Forming networks and stimulating social interaction
- 5. Increasing the capacity for technological problem-solving
- 6. Creating new firms
- 7. Provision of social knowledge

#### Extensive literature shows

- substantial benefits for each of these channels
- some more readily measurable than others

(Martin et al., 1996; Salter et al., 2000; Salter & Martin, 2001; Scott et al., 2002; Martin & Tang, 2006)

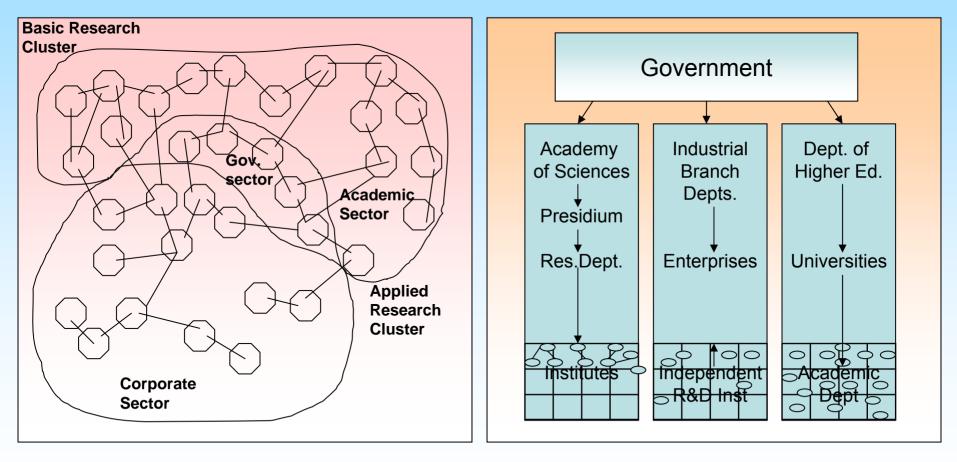
Interplay between science, technology and society



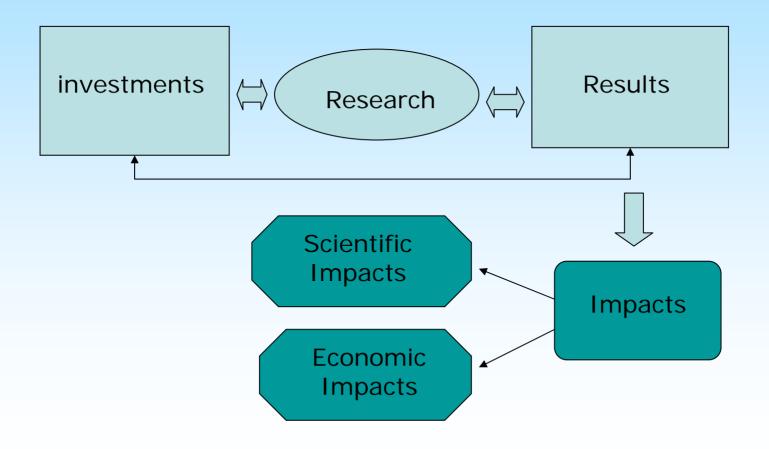
### Comparison of two different research management approaches

• Western System (network)

• Soviet System (centralised)



## Model of impacts and results of research



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# Relevance of the **research** projects

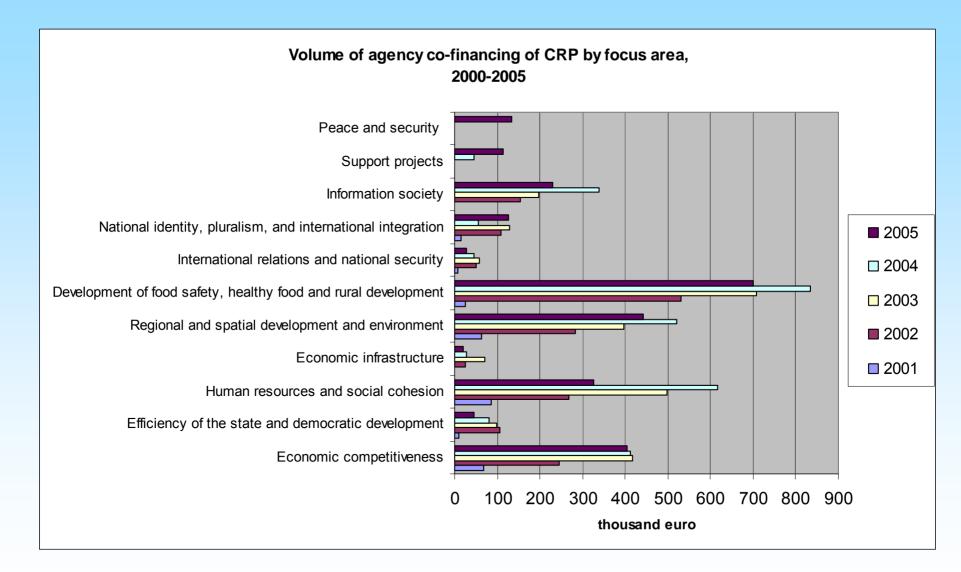
- Targeted research programmes (TRP)
  - Slovenian competitiveness 2006-2013
  - Knowledge for peace and security 2004-2010
- Programme for young researchers (YR in private sector)
- Tematic public calls for basic, applied and postdoctoral projects

Socio-economic relevance is an important criterion of **evaluation** 

### TRP Public call: "Knowledge for peace and security 2004-2010"

**Research chapters:** 

- Slovenian Army of the 21st century
- Development of the communication and information system according to the needs of national security
- Defence against terrorism, civil protection and environmental protection
- Development and management of the national security system



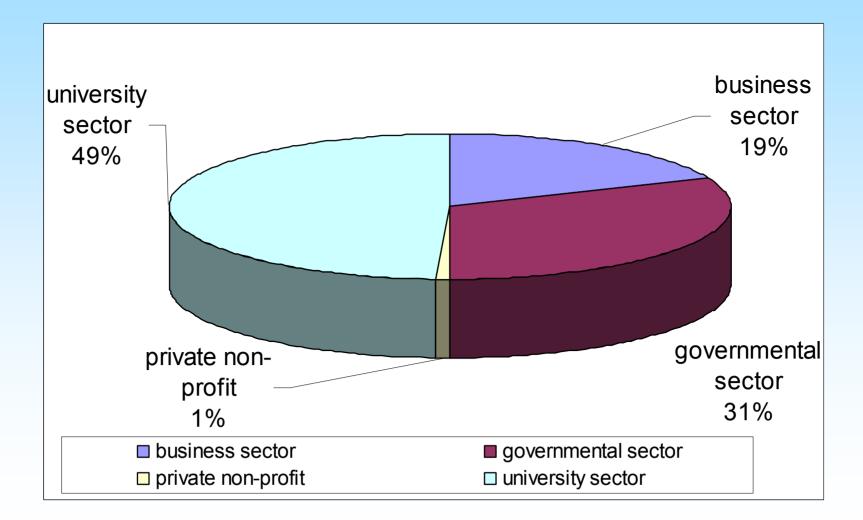
Target research programme: "Knowledge for security and peace"

- 98 % of budget comes from the Ministry of Defence
- 2 % Ministry of higher education science and technology
- Main topics:
  - Relating to security
  - Natural catastrophes
  - Other topics related to security and peace (socio economic, legal...)

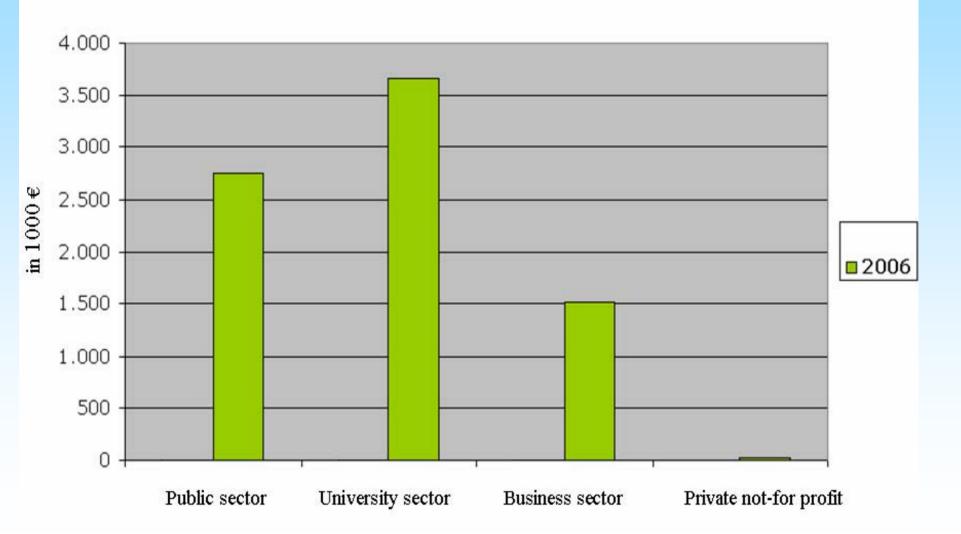
#### Budget for a period 2006-2010

- Ministry of defence: 33.175.000 €
- SRA: 625.940 €

## Structure of participating research institutions



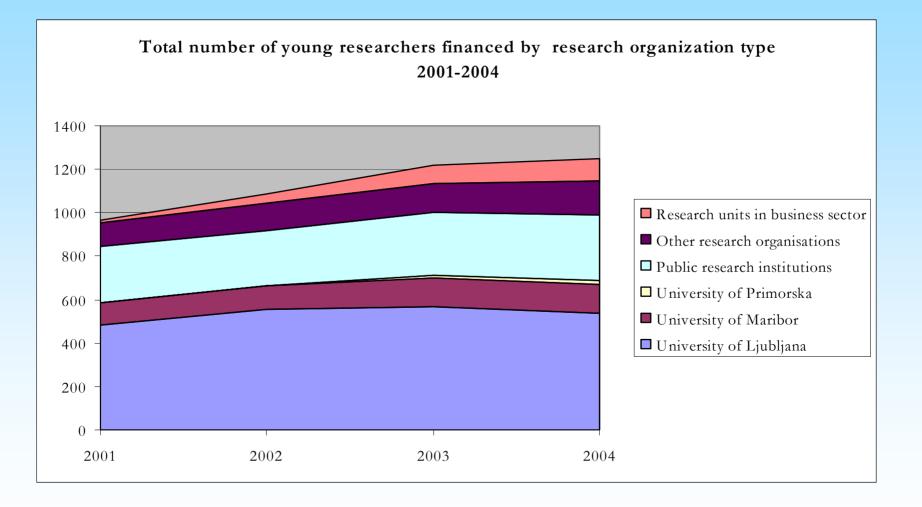
## Receivers of CPR money according to sector

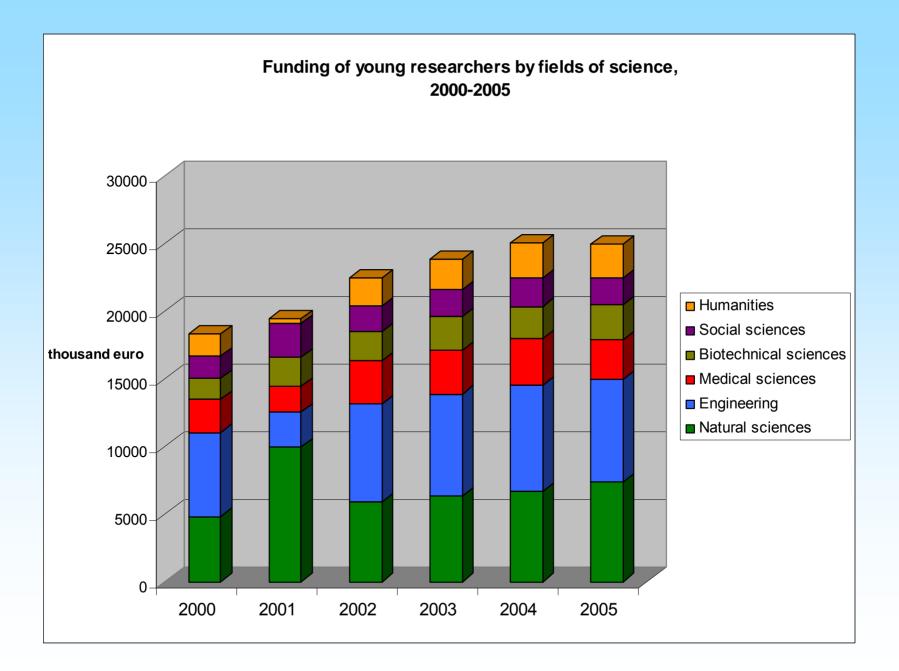


Programme of Young researchers (YR)

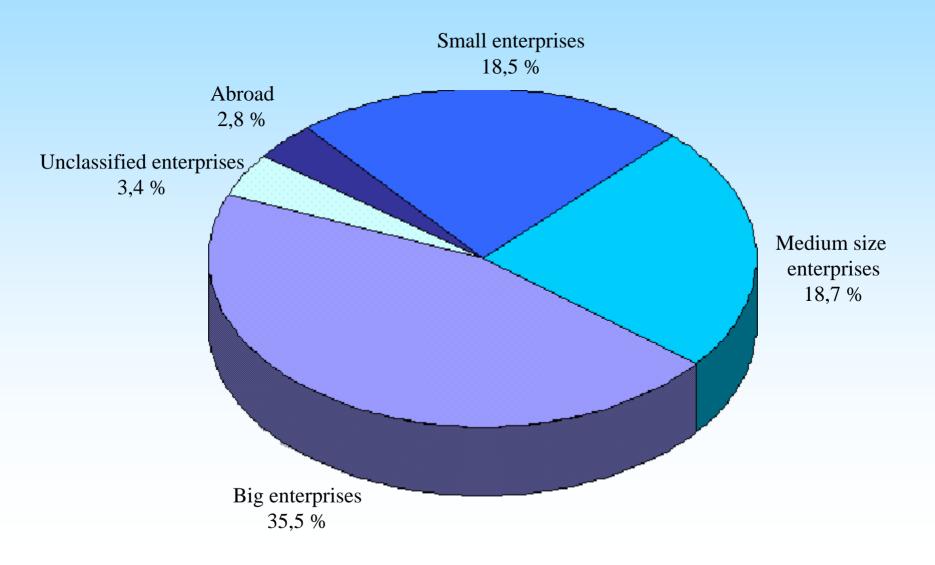
- **1.200** young researchers (850-900 FTE) financed per year
- 250 new candidates for young researchers accepted per year
- 25 mio EUR per year
- 19% of the budget of SRA

### YR by research organizations

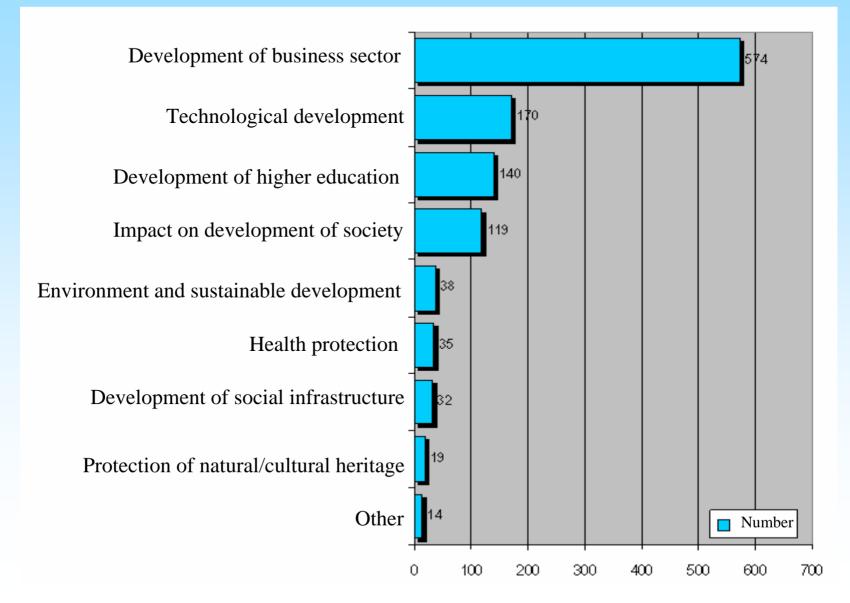




# Applied-industrial projects by the type of co-financing institutions



## Type of influences of finished applied projects 2004-2006



Transparency as a tool supporting R&D activities

- Control over the use and distribution of research money and indirect control of public over the work of researchers
- Public availability of research results (for researchers and general public)
- Dissemination of research results in short and understandable form

#### Elements of transparency

- 1. Transparency of instruments
- 2. Transparency of Procedures
- 3. Financial Transparency
- 4. Transparency of results of the research

# 1. Transparency of instruments

- Annual plan of public calls
- Timetable of evaluation process
- Guidelines prepared by the Ministry of higher education science and technology;
- Published names peers and members of decision-making bodies
  - Inclusion of "users" representatives of business

- 2. Transparency of procedures
  - Evaluation methodology unique for each field of science
  - Transparent membership in expert bodies (published on SRA home page)
  - Procedure of peers selection is known in advance
  - Evaluation criteria (questions for peers) are prepared, adopted and published in advance
  - Publicly available results of selection process

# 3. Financial Transparency

- Annual financial reports (White book on financing)
- Up-to date overview of distribution of budget (online white book)



# 4. Transparency of research results

- Use of COBISS bibliographic system
- Use of SICRIS system and its abstracts of research work
  - The most important scientific results
  - Socio-economic significance of research
- Use of international citation indexes (ISI, CSA and other bibliographic databases

### COBISS bibliographic system – articles and patents

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ŽELJKO KNEZ [02619]
ZELJKO KNEZ [02019]
Personal bibliography for the period
1979-2007
ARTICLES AND OTHER COMPONENT PARTS
1.01 Original Scientific Article
1. KNEZ, Željko, GOLOB, Janvit, DOLEČEK, Valter. A study of the isolation of important components from Aurora hop. Vestn. Slov. kem. druš., (Documenta chemica Yugoslavica), 1984, letn. 31, št. 1, str. 57-70, graf. prikazi. [COBISS.SI-ID 26735877]
2. KNEZ, Željko, DOLEČEK, Valter, GOLOB, Janvit, ZUPANEC, Janez. Some physico chemical processes at the hop alteration. Hopfen-Rundsch., 1985, vol. 3, str. 40-42, graf. prikazi. [COBISS.SI-ID <u>26736133]</u>
3. KNEZ, Željko, DOLEČEK, Valter. Izolacija pivarskih značajnih komponenata hmelja etanolom. Pivarstvo, 19 (1986), 4 ; str. 271-275 . [COBISS.SI-ID 348164]
4. KNEZ, Željko, HABULIN, Maja. Membrane reactors for ester synthesis. Chem. biochem. eng. q., 1988, 2, 4, str. 233-234. [COBISS.SI-ID 6381828]
5. KNEZ, Željko, POSEL, Franc. Ekstrakcija vodnih raztopin in trdnih substanc s superkritičnim CO2. V: Hemijska industrija : časopis Saveza hemičara i tehnologa Jugoslavije : žurnal Sojuza himikov i tehnologov Jugoslavii : journal of the Federation of Chemists and Tehnologists of Yugoslavia. Beograd: Savez hemičara i tehnologa Jugoslavije, 1947-, 43 (1989) 11-12 ; str. 445-449. [COBISS.SI-ID <u>1778436</u> ]
6. KNEZ, Željko, POSEL, Franc, GOLOB, Janvit, BAUMAN, Davorin. Supercritical fluid extraction of organics from water. Vestn. Slov. kem. druš., (Documenta chemica Yugoslavica), 1989, letn. 36, št. 2, str. 155-166, graf. prikazi. [COBISS.SI-ID] 14083328]
7. ZUPANEC, Janez, GOLOB, Janvit, KNEZ, Željko. Potential use of a heat pump in a plant material drying process. Vestn. Slov. kem. druš., (Documenta chemica Yugoslavica), 1989, letn. 36, št. 2, str. 215-222, graf. prikazi. [COBISS.SI-ID 26736645]
8. KNEZ, Željko. Potential use of a heat pump in a plant material drying process. Vestn. Slov. kem. druš., (Documenta chemica Yugoslavica), 36(1989), 2 ; str. 215-222. [COBISS.SI-ID 1140228]
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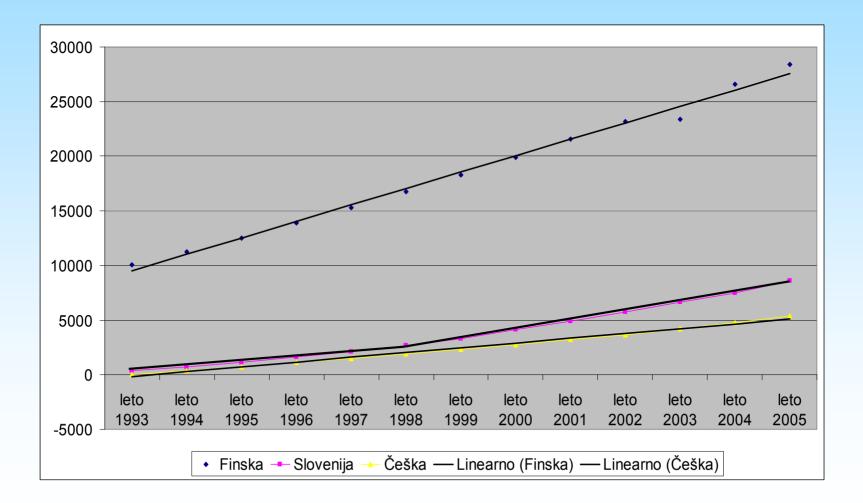
**646. BAUMAN, Davorin, KNEZ, Željko, MARČINKO, Vlasta, PETROVIČ, Branko, RIŽNER HRAŠ, Andreja**. Process for preparing N-phosphonomethyl glycine : international application published under the Patent Cooperation Treaty (PTC), international publication number WO 96/19485, date 27 June 1996 : international application number PCT/SI95/00029. [S.1.]: World Intellectual Property Organisation, 1996. [COBISS.SI-ID <u>5605398</u>]

657. WEIDNER, Eckhard, KNEZ, Željko, NOVAK, Zoran. Process for the production of particles or powders. United States Patent US006056791A, Patent Number 6,056,791, Date of patent May 2, 2000 : Appl. No.: 08/965,805, Filed: Nov. 7, 1997 : Continuation of application No. 08/694, 455, filed as application No. PCT/EP95/00538, Feb. 14. 1995 : Priority data SI 9400079, Feb. 15, 1994. [S.1.: s.n], 2000. [10] f., graf. prikazi. [COBISS.SI-ID <u>6115350</u>]

## **SICRIS** information system

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CONTACTS	
TELEPHONE         (01) 476 66 32           FAX         (01) 251 72 81	
E-MAIL roman.drnovsek@fmf.uni-lj.si	
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ΑCTIVITY	1
SRA CLASSIFICATION	
1.01.01 – Natural sciences and mathematics / Mathematics / Analysis	
→KEYWORDS Operator theory, Banach spaces, Banach lattices, collections of operators, semigroups, invariant subspaces, spectral properties of operators.	
→BIBLIOGRAPHY	
Representative / Complete	
LANGUAGE SKILLS (reading / writing / speaking)	1
English functional / functional	
German basic / basic / basic	
Serbo-Croatian (Roman) functional / functional	
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# Publications in journals (index) per million inhabitants – result of transparency?



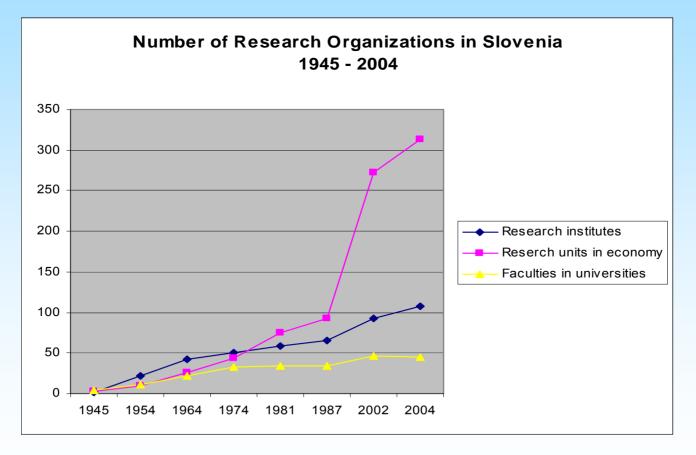
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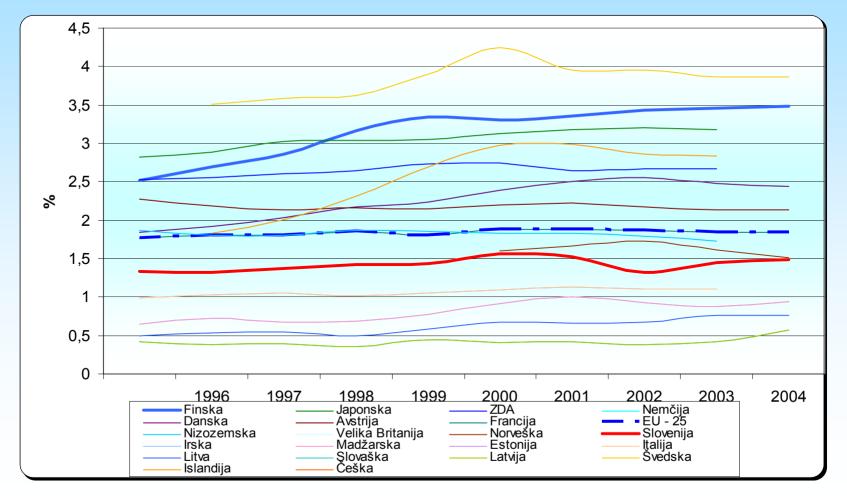
# Institutional research **landscape**

- 4 Universities:
  - University of Ljubljana (26 faculties)
  - University of Maribor (12 faculties)
  - University of Primorska (7 faculties)
  - University of Nova Gorica (6 faculties)
- National Research Institutes (15)
- Private (non-profit) Research Institutes (ca. 100)
- Research unites in business (ca. 300)

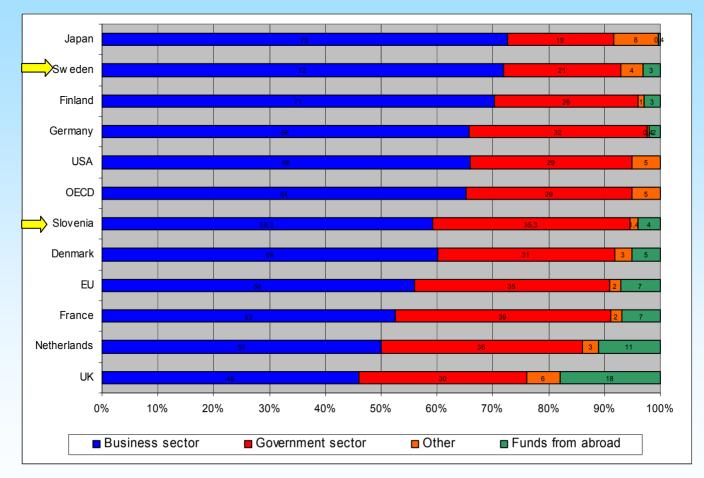
# **Evolution** of research organizations in Slovenia



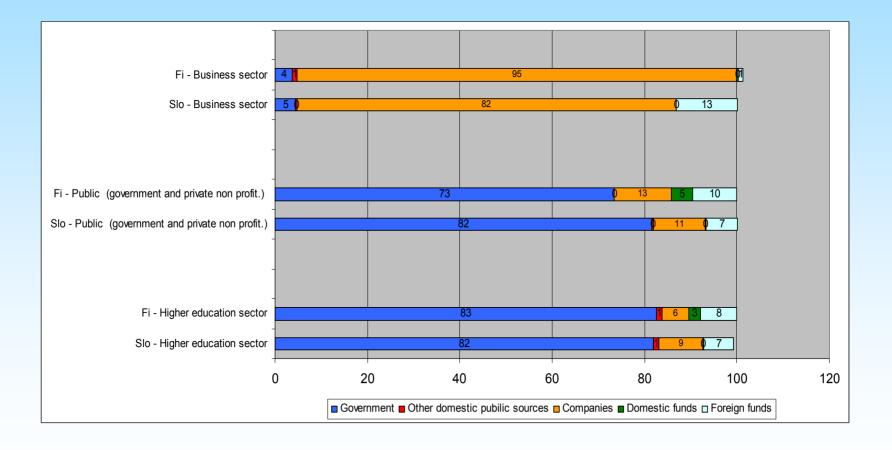
R&D expenditure as a proportion of GDP (%) in Slovenia and in selected OECD countries 1990 – 2005



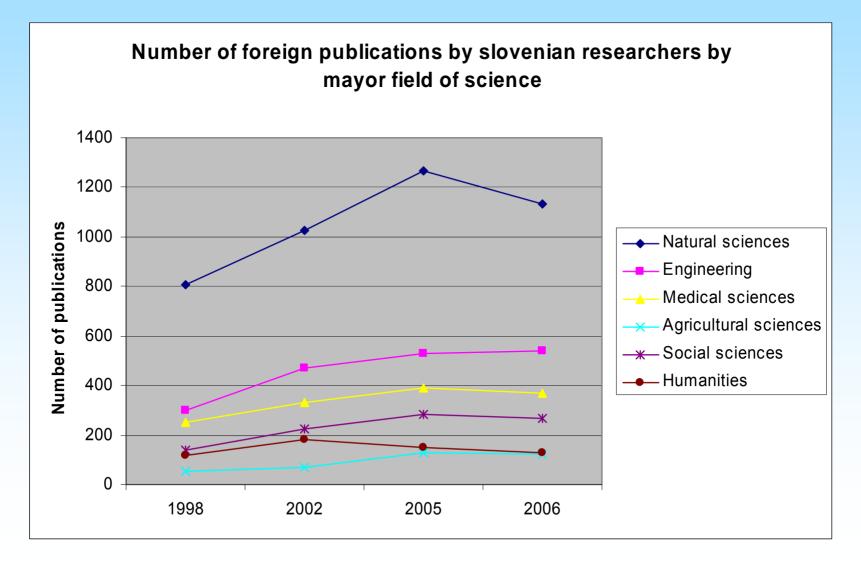
#### Funding from different sources as a % share of R&D expenditure in Slovenia and in selected OECD countries



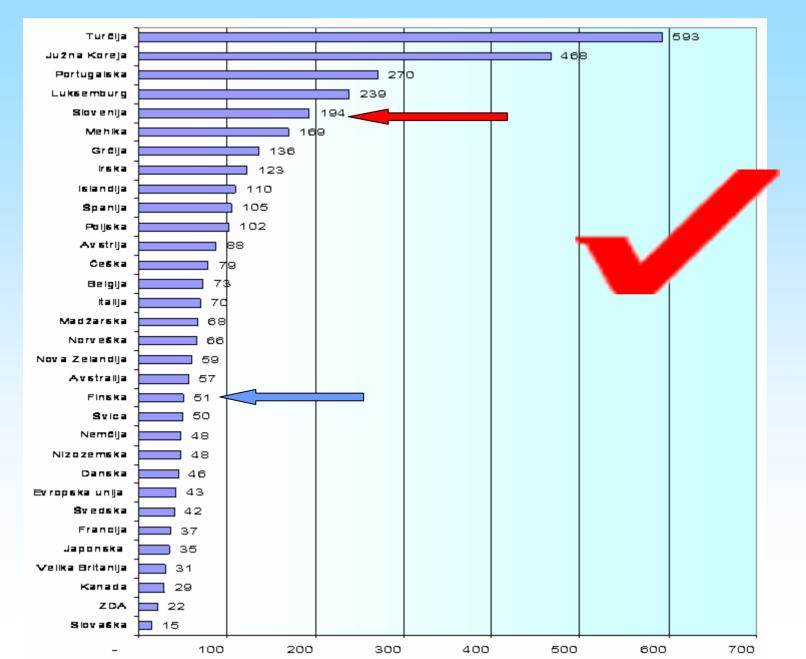
Funding from different sources as a % share of R&D expenditure in Slovenia and Finland by sector of performance, 2004



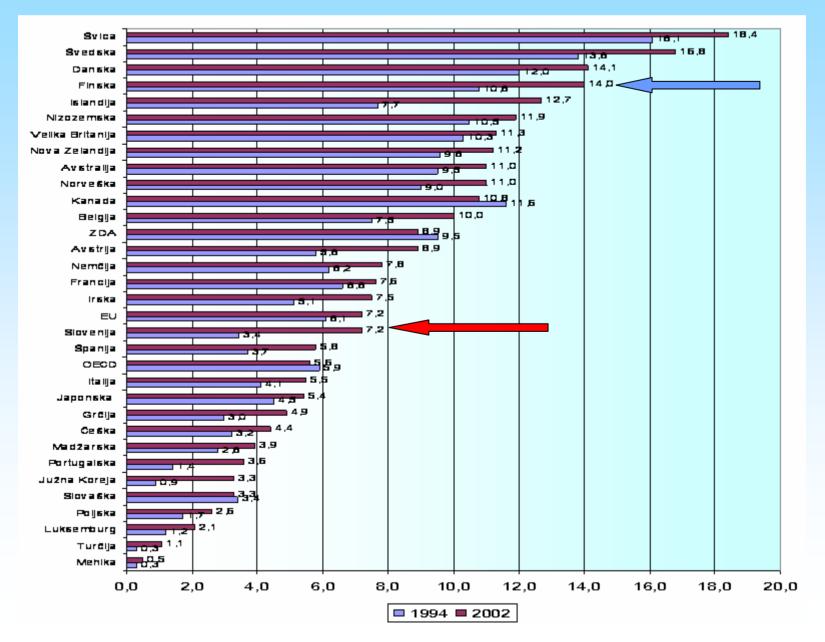
# International publications of Slovenian researchers



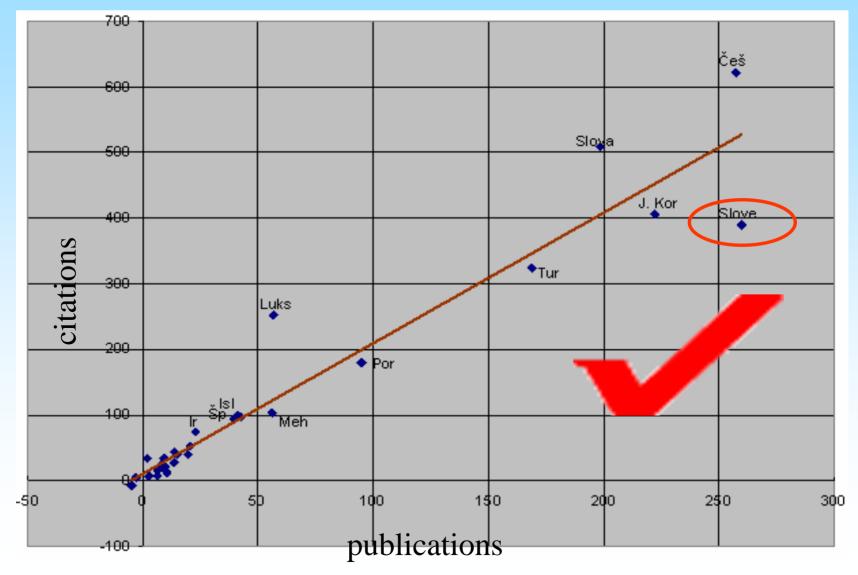
#### % of change in number of publications per anno in period 1994-2005



# Scientific publications in Slovenia and selected OECD countries in 1994 and 2002



## Intensity of changes of publications and citations

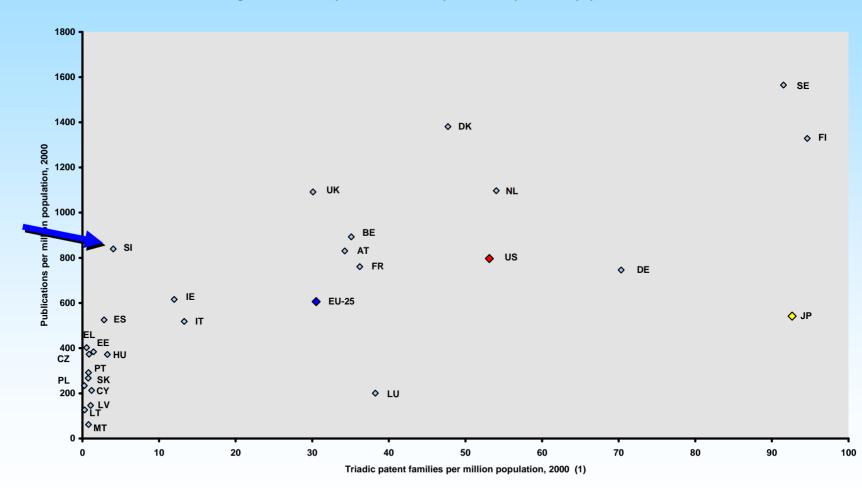


## Efficiency of research programmes

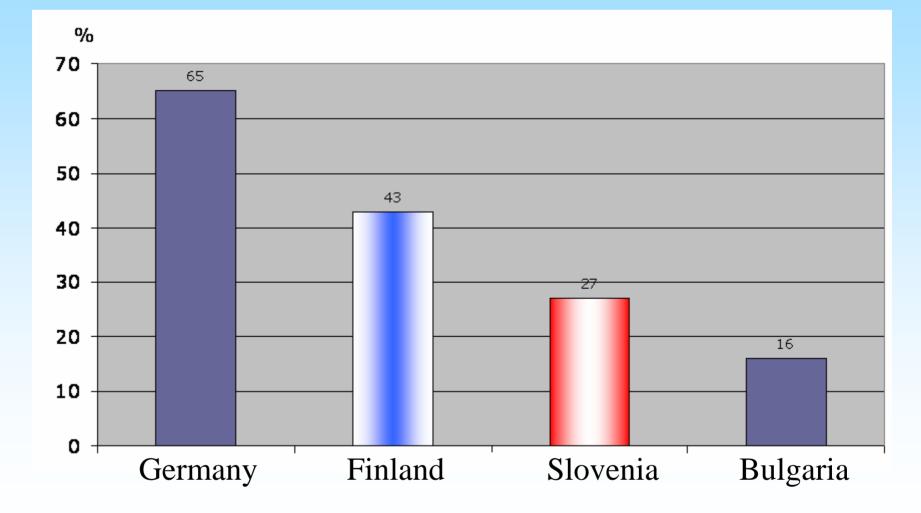
Year	Public funding	Private funding	Total funding	% of private funding
2005	50.039.481	11.081.221	61.120.702	18,13
2006	51.794.634	12.851.110	64.645.744	19,87

# Triadic **patent** families and **publications** per mio population

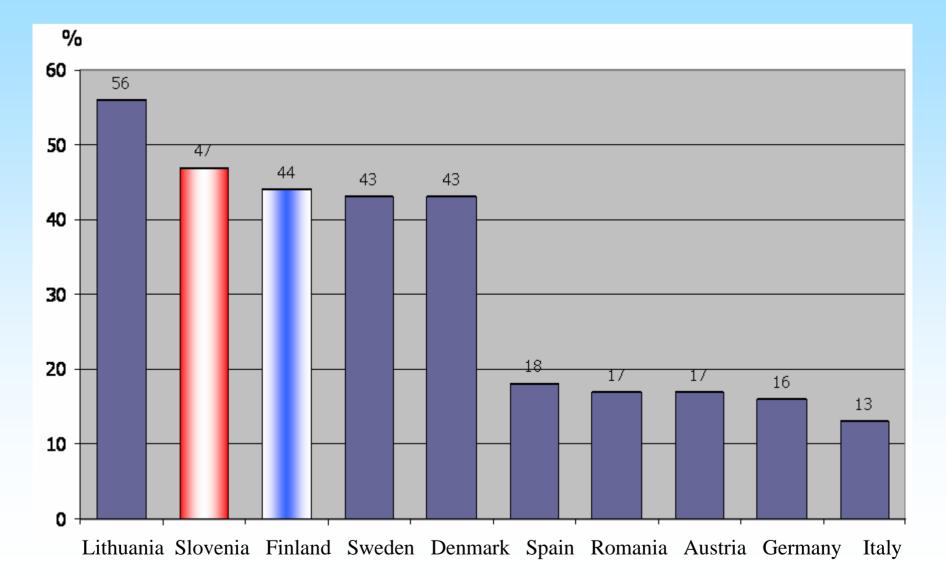
Figure 3.2.5 Triadic patent families and publications per million population, 2000



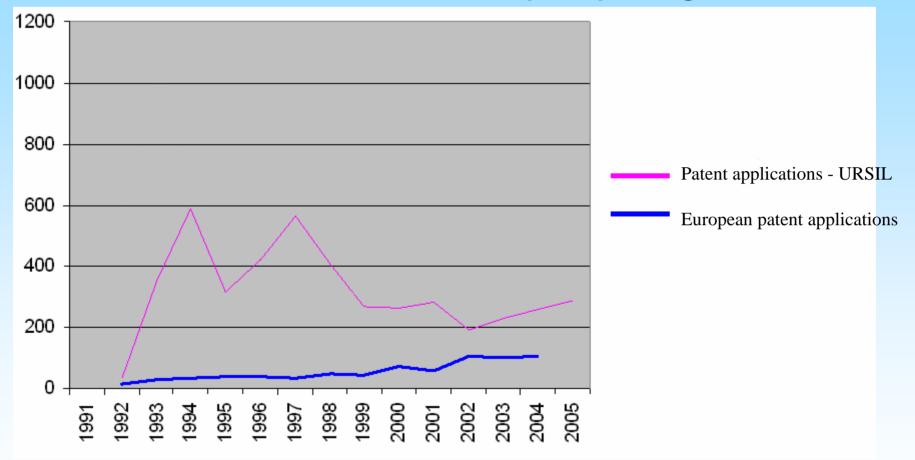
## Share of innovation active enterprises

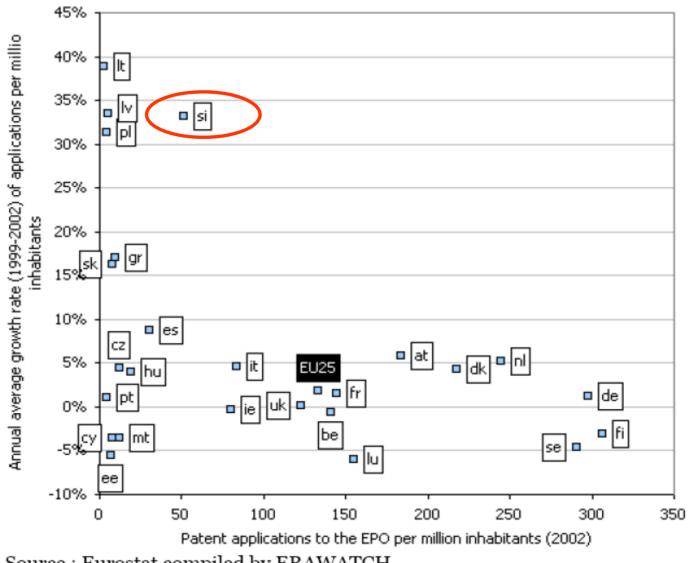


# Share of innovative enterprises which collaborated with other enterprises



## Number of patent applications on Slovenian Intellectual property office

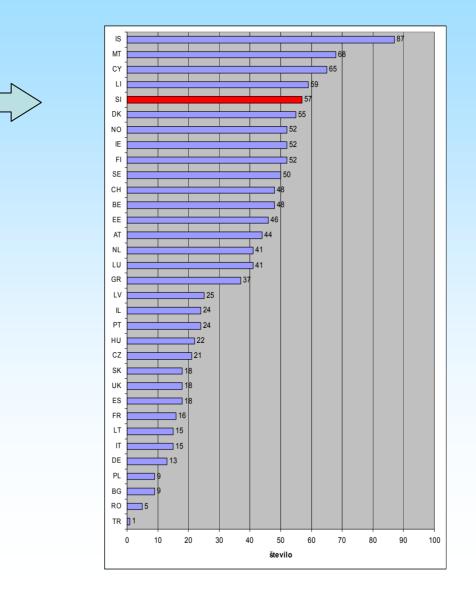




Source : Eurostat compiled by ERAWATCH

Last update date: 12/12/2006

## Number of projects in **6**. **FP** per million people



## SI in FP6 contracts Overall figures17.7.2006

EU27 population	490 mio.	
SI population	2 mio.	0,41%
Total no. of contracts	6.654	100%
No. of SI contracts	360	5,4%
All participants	51.304	100%
No. of SI participants	429	0,84%
Total EU funding	12.122	100%
For SI participants	56.5	0,47%

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# Thank you for your attention!



